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(71) Applicant (*for all designated States except US*): **D.B.P. DI ROSSI VALENTINA E C. S.N.C.** [IT/IT]; Via Beata Francesca, 10, I-83100 Avellino (IT).

(72) Inventors; and

(75) Inventors/Applicants (*for US only*): **DE ROSA, Roberto** [IT/IT]; Via Beata Francesca, 10, I-83100 Avellino (IT). **ROSSI, Fabiana** [IT/IT]; Via Beata Francesca, 10, I-83100 Avellino (IT).

(74) Agents: **MINOJA, Fabrizio** et al.; Bianchetti Bracco Minoja Srl, Via Rossini, 8, I-20122 Milano (IT).

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(54) Title: **USE OF STILBENE DERIVATIVES FOR DANDRUFF TREATMENT**

(57) Abstract: The present invention relates to the use of resveratrol and its derivatives as active principles in antidandruff formulations.

**WO 01/91714 A1**

## USE OF STILBENE DERIVATIVES FOR DANDRUFF TREATMENT

**FIELD OF THE INVENTION**

5           The present invention relates to the use of resveratrol and its derivatives as active principles in antidandruff formulations.

**BACKGROUND OF THE INVENTION**

          There is an increasing need for innovative strategies in the dandruff treatments. Dandruff is due to the necrosis of epidermal cells and, in its  
10   pathological form, is an inflammatory disease which appears as dry or greasy diffuse scaling of the scalp with variable itching. The main cause of dandruff, which appears as flakes of the skin shed from the scalp in larger amounts than normal, is a reaction of cutis toward the yeast *Pytirosporom ovale*, whose abnormal growth is generally coupled with the presence of dandruff.

15           In addition to be non-aesthetic, dandruff may inhibit hair growth and generate hairs loss and infections of the scalp as it becomes the nutrient medium for the growth and proliferation of a number of microorganisms.

          Resveratrol (3,4,5-trihydroxystilbene) is a phenolic stilbene and the parent natural glycosides are called polydatin or piceid. The *trans* isomer  
20   occurs in a narrow range of spermatophytes, including principally vines, peanuts and pine trees. Resveratrol is classified as an antifungal phytoalexin, conferring disease resistance in the plant kingdom. Its synthesis in plants is induced by stress, including infection or UV-irradiation. In *vivo* and in *vitro* experiments have shown that resveratrol possesses many biological properties.

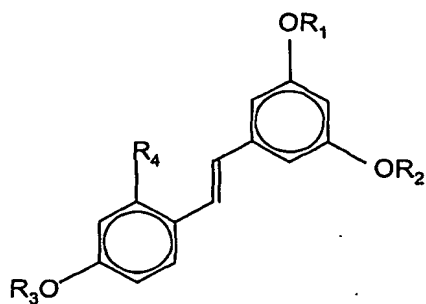
25   Recently, high concentrations of resveratrol have been found in the rhizomes of the plant *Poligonum cuspidatum*, so that this compound is now easily available for use in the pharmaceutical, cosmetic and nutritional fields. Resveratrol exerts potent anti-oxidant action, vasorelaxing effect and inhibition of pro-

atherogenic eicosanoids by human platelets and neutrophils, activities that synergistically favor cardiovascular protection (*The Lancet*, 341:1103-1104, 1993; *Neuroreport*, 8:1499-1502, 1997; *Chim Pharm Bull*, 12:128-129, 1996; *Chem Pharm Bull*, 30:1766-70, 1982; *Clin Chim Acta*, 235:207-219, 1995; *Int J*  
5 *Tiss Reac*, XVII:1-3, 1995; *Thrombosis and Gaemostasis*, 76:818-819, 1996; *Gen. Pharm.*, 27: 363-366, 1997). Resveratrol exerts anti-inflammatory action due to down-regulation of prostaglandin and prostacyclin synthesis and to the inhibition of cyclooxygenase and hydroperoxidase activities (*Arch Pharm Res*, 13:132-135, 1990; *Science*, 267:1782-1788, 1995; *Bioch. Biophys. Acta*, 834:  
10 275-278, 1995). Resveratrol has also been shown to act as an antimutagen, by inhibiting the cellular events associated with tumor initiation, promotion and progression (*Chem Pharm Bull*, 30:1766-70, 1982; *Science*, 267:1782-1788, 1995; *Am J Enol Vitic*, 46:159-165, 1996; *Science*, 275:218-220, 1997; *Cancer Res*, 54:5848-5855, 1994; *Anticancer Res*, 14:1775-1778, 1995; *Anal Biochem*,  
15 169:328-336, 1988; *Proc Natl Acad Sci USA*, 91:3147-3150, 1994; *Proc Natl Acad Sci USA*, 72:1848-1851, 1975; *Carcinogenesis*, 8:541-545, 1987).

A series of recent patents WO9959561; WO9958119; EP0773020; FR2766176; WO9904747 claim the use of resveratrol in the pharmaceutical and cosmetic fields.

20 However, none of the well-known above described properties of resveratrol could envisage the use of resveratrol in the treatment of dandruff.

The present invention relates to compositions for the topical application, containing resveratrol or its derivatives, of formula (I)

Natural trans resveratrol  $R_1 - R_4 = H$ 

wherein:

$R_1, R_2, R_3$  are H;  $C_1-C_{36}$  alkyl groups, optionally substituted by OH groups and optionally comprising one or more double bonds;  $C_2-C_{36}$  acyl groups, optionally substituted by OH groups and optionally comprising one or more double bonds; a  $-(CH_2-CH_2-O)_n-H$  group where  $n$  is an integer from 1 to 30; or a glycosidic residue; and  $R_4$  is H or OH.

Preferred resveratrol derivatives according to the invention are the ethers, esters, hydroxylated and glycosylated derivatives.

The compositions of the invention may be formulated, for example, in the form of lotions, creams, shampoos and hair conditioners, optionally in combination with other active principles.

Nor local neither systemic side effects have been observed during and after the application.

It has now surprisingly been found that resveratrol and its ethers, esters, hydroxylated and glycosylated derivatives can be effectively used in the treatment of dandruff.

It has also been found that a significant improvement of resveratrol efficacy in the treatment of dandruff can be obtained when using acid solutions containing the active principle. Therefore, preferred compositions of the invention contain resveratrol in acidic solution.

Resveratrol as an anti-dandruff agent offers the following advantages compared with the conventional antidandruff agents of the prior art:

- a) it is a natural compound present in many food stuff and it is not toxic in the topic use, contrary to the most common antidandruff agents;
- 5 b) it is a stable natural compound which can be extracted in sufficient quantity, at a reasonable price, from the roots of the plant *Polygonum cuspidatum*;
- c) its potent anti-oxidant action prevents the peroxydation of lipids of the cutis, a process which enhances the degeneration of the scalp microbial  
10 flora;
- d) it has anti-aging action on the scalp and hairs due to the coupled effect of anti-radical action and vaso-relaxing action, which improves blood circulation in tissues and hair bulbs;
- e) thanks to its regulatory effects on cellular growth, it acts against the  
15 proliferation phenomena which are at the basis of dandruff formation;
- f) its anti-inflammatory action reduces the irritation phenomena associated with dandruff formation, reducing also the itching;
- g) it is easily soluble in the components usually utilised in the formulation of cosmetic preparations, allowing to reach the desired concentration;
- 20 h) the lipophilic derivatives of resveratrol, ethers and esters with alcohols and long chain carboxylic acids, and hydrophilic derivatives, ethoxylated and glycosilated, allow the preparation of cosmetic formulations with optimal resistance to water or hydro-soluble, respectively.

The following examples further illustrate the invention.

## EXAMPLES

**Example 1** – Lotion containing 1% w/w resveratrol.

The lotion was prepared dissolving 1 g of pure resveratrol in 99 g of 1,4-butyleneglycol:ethanol:water (3:3:4 by weight).

5 **Example 2** – Lotion containing 0,5% w/w resveratrol at acidic pH.

The lotion was prepared dissolving 0.5 g of pure resveratrol in 59.5 g of butylene glycol:ethanol (1:1 w/w). The obtained solution was diluted with 40 g of 10 mM citrate buffer pH 4.0.

**Example 3** – Experimental approach for the evaluation of the antidandruff  
10 action of the lotion according to the example 1 on humans.

**Patient selection** – The patients, between 18 and 60 years old, of both sex, with clinical findings consistent with dandruff capitis problems were approached regarding participation in a prospective, random, non blinded clinical trial. Informed consent was obtained for all patients who agreed to  
15 participate. At time of entry into the study, a clinical examination of the patient's scalp was performed and the findings were documented in the patient's medical chart. A dermatophyte culture specimen was obtained by vigorously brushing the affected area of the patient's scalp with a sterile toothbrush. The toothbrush bristles were then inoculated onto a Sabouraud's  
20 glucose agar plate, which was sent to the mycology laboratory for incubation. This diagnostic technique is similar to inoculation of the medium with the patient's hairbrush and is easy to perform.

**Assessments** – On the basis of predetermined random assignment, each patient received either 1% resveratrol lotion according to example 1, or a bland, non-  
25 medicated mixture and instructed to massage with the given product once a day for one week. Patients returned after 1 week to the clinical observation, and they were re-examined and recultured. At this time the physical examination

findings were again documented in the patient's chart and the use of lotion product was reviewed with the patient and his or her family.

**Results** – Of 22 patients approached regarding study participation, 18 were enrolled in the study. 4 patients who had a positive dermatophyte culture were included in the study. There were no significant differences in gender assignment among the two treatment groups. At the 1-week visit, none of 9 patients who used the control lotion had reduction of dandruff. Two of 9 patients who used 1% resveratrol lotion had a significant decrease in dandruff at four day-treatment. As the study progressed, conversion to negative dandruff presence occurred at varying intervals in all treated patients.

**Example 4** – Experimental approach for the evaluation for the antidandruff action on humans of the resveratrol lotion prepared according to the example 2.

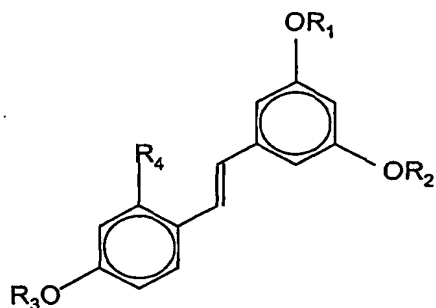
**Patient selection** – All patients were selected according to the example 3.

**Assessments** – On the basis of predetermined random assignment, each patient received either of the acidic 0,5% resveratrol lotion according to example 2, or a bland, non-medicated mixture and instructed to massage with the given product once a day for one week. Patients returned after 1 week to the clinical observation, and they were re-examined and recultured. At this time the physical examination findings were again documented in the patient's chart and use of lotion product was reviewed with the patient and his or her family.

**Results** – Of 30 patients approached regarding study participation, 23 were enrolled in the study. 5 patients who had a positive dermatophyte culture were included in the study. There were no significant differences in gender assignment among the two treatment groups. At the 1-week visit, none of 11 patients who used the control lotion had reduction of dandruff. Five of 12 patients who used 1% resveratrol lotion had a significant decrease in dandruff at four day-treatment. As the study progressed, conversion to negative dandruff presence occurred at varying intervals in all treated patients.

**CLAIMS**

1. Use in the cosmetic treatment of dandruff of resveratrol and its ethers, esters and hydroxylated, ethoxylated and glycosylated derivatives, of formula



Natural trans resveratrol  $R_1 - R_4 = H$

5 (I)

wherein:

$R_1, R_2, R_3$  are H;  $C_1$ - $C_{36}$  alkyl groups, optionally substituted by OH groups and optionally comprising one or more double bonds;  $C_1$ - $C_{36}$  acyl groups, optionally substituted by OH groups and optionally comprising one or more double bonds; a  $-(CH_2-CH_2-O)_n-H$  group where  $n$  is an integer from 1 to 30; or a glycosidic residue; and  $R_4$  is H or OH.

2. Use as claimed in claim 1, wherein the resveratrol ether derivatives have formula (I), wherein at least one of  $R_1, R_2, R_3$  is a  $C_1$ - $C_{36}$  alkyl group, optionally substituted by OH groups and optionally comprising one or more double bonds, and the others can be H; and  $R_4$  is H.

3. Use as claimed in claim 1, wherein the resveratrol ester derivatives have formula (I), wherein at least one of  $R_1, R_2, R_3$  is a  $C_1$ - $C_{36}$  acyl group, optionally substituted by OH groups and optionally comprising one or more double bonds, and the others can be H; and  $R_4$  is OH.

4. Use as claimed in claim 1, wherein the resveratrol ethoxylated derivatives have formula (I), wherein at least one of  $R_1, R_2, R_3$  is a



-(CH<sub>2</sub>-CH<sub>2</sub>-O)<sub>n</sub>-H group where n is an integer from 1 to 30, and the others can be H; and R<sub>4</sub> is H.

5     5. Use as claimed in claim 1, wherein resveratrol glycosylated derivatives have formula (I), wherein at least one of R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub> is a glycosidic residue, and the others can be H; and R<sub>4</sub> is H.

6. Use as claimed in claim 1, wherein resveratrol hydroxylated derivatives have formula (I) wherein R<sub>1</sub>, R<sub>2</sub>, and R<sub>3</sub> are H and R<sub>4</sub> is OH.

7. Antidandruff preparations comprising resveratrol or its derivatives according to the claims 2-6 and a cosmetic acceptable carrier selected from the group consisting of a solution, an oil, a cream, a lotion, a gel and a powder and auxiliary agents selected from the group consisting of thickeners, emulsifiers, preservatives and fragrances.

8. Antidandruff preparations containing 0.01 to 30% w/w resveratrol or derivatives thereof, preferably 0.1 to 5% w/w.

15     9. Antidandruff preparations, according to the claims 7-8, containing resveratrol or its derivatives, according to the claims 2-6, in association with coal tar, pyrition and its derivatives, undecilenic acid and its derivatives and anti-fungine and anti-inflammatory compounds.

10     10. Cosmetic formulations according to the claims 7-9 having an acid pH, preferentially between 3.5 and 5.0.

## INTERNATIONAL SEARCH REPORT

In Application No  
PCT/EP 01/06102

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 7 A61K7/48 A61K7/06

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

WPI Data, PAJ, BIOSIS, EPO-Internal, CHEM ABS Data, MEDLINE, EMBASE

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 953 345 A (L'OREAL) 3 November 1999 (1999-11-03) the whole document	1,6-10
X,P	WO 01 30336 A (PHARMASCIENCE) 3 May 2001 (2001-05-03) the whole document	1,5,7-10
A	"MERCK MANUAL OF DIAGNOSIS AND THERAPY, SEVENTEENTH EDITION", MERCK RESEARCH LABORATORIES, USA XP002178478 page 789, paragraphs SEBORRHEIC-DERMATITIS -page 790	1-10
A	WO 00 21368 A (CIBA SPECIALTY CHEMICALS HOLDING) 20 April 2000 (2000-04-20) the whole document	1-10
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☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax (+31-70) 340-3016

Authorized officer

Fischer, J.P.

# INTERNATIONAL SEARCH REPORT

Int. Application No  
PCT/EP 01/06102

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0 953 344 A (L'OREAL) 3 November 1999 (1999-11-03) the whole document -----	1-10

# INTERNATIONAL SEARCH REPORT

Information on patent family members

In al Application No  
PCT/EP 01/06102

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